

# OEIC PEP transfer application (Part One)

Please complete this form in BLOCK CAPITALS and black ink. You should read the Notes and the Declaration overleaf, and the Data Privacy Policy and Anti-Money Laundering' section of the Key Features incorporating Simplified Prospectus, before completing this form. Please then return to:

**JPMorgan Asset Management, FREEPOST NAT17429, Peterborough PE2 6XH**

**INTERNET**

Financial Adviser's stamp

FSA number

**Agent commission**  % (please indicate rate).  
If no rate is indicated then max commission for the fund will be paid, unless you have previously agreed terms. Until 30 June 2008, JPM Global Equity Income Fund will pay up to a maximum commission rate of 4%.

There are two parts to the PEP Transfer form:

**Part One** This is your instruction to us to buy shares and to open your OEIC PEP.

**Part Two** We forward this part to your existing PEP manager to instruct them to sell your current investments.

## 1. About you

Your title (e.g. Mr/Mrs/Miss/Ms/Other)

Surname

First name(s) in full

Your permanent residential address

Postcode

Daytime telephone number

Evening telephone number

Email address

If you do not wish to receive marketing from JPMAM and other companies in the JPMorgan Group by email, please tick this box

Your date of birth (Day/Month/Year)

1
9

Your existing Account Number (if applicable)

Do you have a National Insurance Number?

NO

If yes, please enter your National Insurance number here

YES

We will be unable to process your application if this section has not been fully completed. (See notes overleaf).

**Please tick this box if you have not received financial advice on this investment**

Inland Revenue code

**J595**

## 2. Choosing your fund

Please tell us the fund(s) which you want to invest and, if appropriate, the proportion(s) to be allocated. Please state whether or not you wish to invest in income (Inc) or accumulation (Acc) shares (where applicable). If you have not marked either box, we will automatically buy the accumulation shares.

Share Class A Sub-Fund name	Percentage (minimum £3,000)	
	Inc	Acc
JPM Asia	<input type="checkbox"/>	<input type="checkbox"/>
JPM Balanced Total Return	<input type="checkbox"/>	<input type="checkbox"/>
JPM Cautious Total Return	<input type="checkbox"/>	<input type="checkbox"/>
JPM Emerging Markets	<input type="checkbox"/>	<input type="checkbox"/>
JPM Europe	<input type="checkbox"/>	<input type="checkbox"/>
JPM Europe Dynamic (ex-UK)	<input type="checkbox"/>	<input type="checkbox"/>
JPM Europe Smaller Companies	<input type="checkbox"/>	<input type="checkbox"/>
JPM Global	<input type="checkbox"/>	<input type="checkbox"/>
JPM Global Equity Income	<input type="checkbox"/>	<input type="checkbox"/>
JPM Global (ex-uk) Bond	<input type="checkbox"/>	<input type="checkbox"/>
JPM Global Financials	<input type="checkbox"/>	<input type="checkbox"/>
JPM Global High Yield Bond	<input type="checkbox"/>	<input type="checkbox"/>
JPM Global Property Securities	<input type="checkbox"/>	<input type="checkbox"/>
JPM Japan	<input type="checkbox"/>	<input type="checkbox"/>
JPM Multi-Manager Growth	<input type="checkbox"/>	<input type="checkbox"/>
JPM Natural Resources	<input type="checkbox"/>	<input type="checkbox"/>
JPM New Europe	<input type="checkbox"/>	<input type="checkbox"/>
JPM Portfolio	<input type="checkbox"/>	<input type="checkbox"/>
JPM Premier Equity Growth	<input type="checkbox"/>	<input type="checkbox"/>
JPM Premier Equity Income	<input type="checkbox"/>	<input type="checkbox"/>
JPM UK Active 350	<input type="checkbox"/>	<input type="checkbox"/>
JPM Sterling Corporate Bond	<input type="checkbox"/>	<input type="checkbox"/>
JPM UK Equity & Bond Income	<input type="checkbox"/>	<input type="checkbox"/>
JPM UK Focus	<input type="checkbox"/>	<input type="checkbox"/>
JPM UK Smaller Companies	<input type="checkbox"/>	<input type="checkbox"/>
JPM UK Strategic Equity Income <sup>A</sup>	<input type="checkbox"/>	<input type="checkbox"/>
JPM US	<input type="checkbox"/>	<input type="checkbox"/>
JPM US Smaller Companies	<input type="checkbox"/>	<input type="checkbox"/>
<sup>B</sup>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Total %</b>	<b>100%</b>	

<sup>A</sup> For details of the **minimum** amounts you can invest in this Fund, please refer to the Key Features incorporating Simplified Prospectus.

<sup>B</sup> If the Fund you wish to invest in is not shown in the list above please enter the fund name in the space provided above.

### 3. Income details

If you have chosen an income (Inc) share class any income you receive will be paid into your chosen bank or building society account. Please complete the section below.

Required frequency of income payment:                      Every 3 months   
(please tick as required)    Every 6 month

Name of bank or building society

Name of account holder(s)



Branch address



Postcode

Account number                      Bank sort code

Reference number (Building Society)

From time to time JPMAM and other companies in the JPMorgan Group may provide customers with details of new investments, product launches and special offers by mail or telephone. If you would prefer not to receive this information, please tick this box.

If your investment with us is linked to a Financial Adviser you will not be contacted in this way.

Your signature

Date (Day/Month/Year)

### Notes

#### About you

- Your application for a PEP cannot be accepted without your National Insurance number (or confirmation that you do not have one), full name, date of birth and permanent residential address including postcode. Your National Insurance number can be obtained from your payslip, your employer or your tax office. If you receive the state pension you can find the number on the front cover of your pension book.
- Please ensure you advise us if any of your personal details change.

### 4. Your signature and declaration

To JPMorgan Equity Plan Managers Limited (“the managers”)

#### A. I declare that:

- I wish to transfer to a JPMorgan Asset Management Personal Equity Plan (PEP).
- I am aged 18 years of age or over.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform JPMorgan Asset Management if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I have read and understand and agree to be bound by the JPMorgan Asset Management PEP Customer Agreement. To the best of my knowledge and belief all statements in this application are true and correct and I shall inform the managers promptly of any change therein.
- I confirm that I have read and understood the ‘Data Privacy Policy and Anti-Money Laundering’ section of the Key Features incorporating Simplified Prospectus, and agree to the use of my data in this way.
- I confirm that I am not a US person.

#### B. I authorise the managers:

- to hold my transfer payment, PEP investments, interest, distributions, dividends and any other rights to proceeds in respect of those investments on any other cash received on account for my PEP,
- to make on my behalf any claims to relief from tax in respect of PEP investments,
- on my written request to transfer or pay to me PEP investments, interest, distributions, dividends, rights or other proceeds in respect of such investments or any other cash received on account for my PEP.

By signing this form I confirm that I have read and understood this form including the separate Key Features incorporating Simplified Prospectus for the Fund(s) in which I have chosen to invest. I am also making the declaration shown above.

### Checklist

#### Checklist for transferring a PEP to JPMorgan Asset Management

Thank you for choosing to invest with JPMorgan Asset Management. We have created a checklist of all the necessary information required to process your application as quickly and efficiently as possible.

Before sending us your application please check that you’ve completed the following:

- Provided a contact telephone number.
- Provided your National Insurance Number and date of birth.
- Selected whether you’d like to transfer all or part of your PEP.
- Signed Section 3 – Instructions to existing plan manager.
- Chosen the fund(s) you wish to invest in.
- Selected income or accumulation shares
- Included your bank account details if you’ll be taking an income from your PEP and selected the frequency of income payments, either quarterly or half yearly.
- Signed and read the declaration.

If you have any questions regarding the completion of your application form, please contact our Investor Services team on 0800 204020.

## 1. About you

Your title (e.g. Mr/Mrs/Miss/Ms/Other)  Surname

First name(s) in full

Your permanent residential address

Postcode

## 2. Your existing PEP details

Name of Plan manager

Address of Plan manager

Postcode

PEP account number

## 3. Instructions to existing Plan manager

I instruct you to sell my **total** PEP holding and close my plan  Yes

OR

I instruct you to sell part of my PEP holding

You should transfer the sale proceeds together with any interest, dividends, distributions, rights and any other cash within my PEP (less any amounts you are entitled to keep under the terms of the Plan) to JPMorgan Asset Management, FREEPOST NAT17429, Peterborough PE2 6XH. Please forward any residual income to JPMorgan Asset Management.

Your signature

Date (Day/Month/Year)

Please note all correspondence should be sent to the address shown at the top of the application and not to the registered address.

**JPMorgan Equity Plan Managers Limited** Registered Office: 125 London Wall, London EC2Y 5AJ. Registered in England No. 1823867. Authorised and regulated by the Financial Services Authority.

**JPMorgan Funds Limited** Registered Office: 3 Lochside View, Edinburgh Park, Edinburgh EH12 9DH. Registered in Scotland No. 19438. Authorised and regulated by the Financial Services Authority.

## To be completed by existing Plan manager

The following information must be provided for each tax year of PEP investment (please attach additional sheets if necessary).

Date Plan opened  /  / during tax year  /

Total contributions

£

Current value of Plan transferred

£

## Declaration

to **JPMorgan Equity Plan Managers Limited**

I declare that:

- a) I have fulfilled my obligations to the Plan investor, the Board of Inland Revenue or otherwise, which are imposed by the PEP Regulations, and
- b) the information contained in this notice is correct.

Your signature

For and on behalf of

Date (Day/Month/Year)

Please make the cheque payable to **JPMorgan Equity Plan Managers Limited** Re: Client's name. A complete history of the PEP referred to herein, including a schedule of outstanding dividends and tax credits, should be sent to JPMorgan Equity Plan Managers Limited as soon as practicable.

**JPMorgan Asset Management**  
**FREEPOST NAT17429**  
**Peterborough PE2 6XH**

Tax Office Shoreditch  
Tax Office Ref. J595

